

# BACKWARD SEARCH

## FM-INDEX

(**F**ULL-TEXT INDEX IN **M**INUTE SPACE)

# MOTIVATION

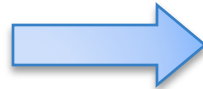


# HOW DOES IT WORK?

- *Burrows-Wheeler*  
*Transform*
- *compressed text*      *full-text indexing information.*
- - **Count**
  - **Locate**

# BURROWS WHEELER TRANSFORM

mississippi#  
ississippi#m  
ssissippi#mi  
sissippi#mis  
issippi#miss  
ssippi#missi  
sippi#missis  
ippi#mississ  
ppi#mississi  
pi#mississip  
i#mississipp  
#mississippi

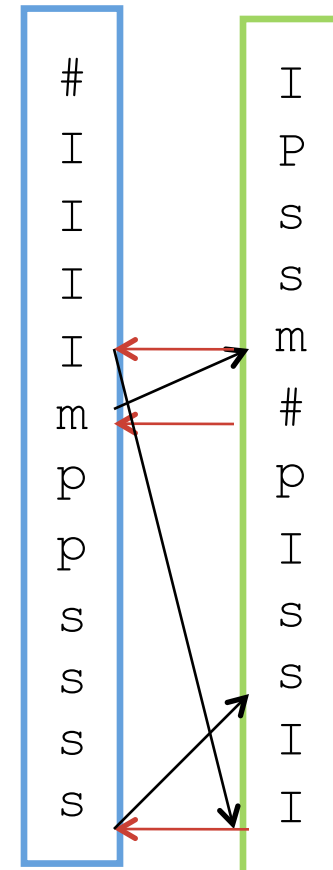


i ssippi#mis s  
m issi  
p i#mississi p  
p pi#mississ i  
s ippi#missi s  
s issippi#mi s  
s sippi#miss i  
s sissippi#m i

# BURROWS WHEELER TRANSFORM

:

- 
- 



# NEXT: COUNT P IN T

## Backward-search algorithm

○

○

•  $\Sigma$

•

=  
( , 5) = 2  
( , 12) = 4

≡

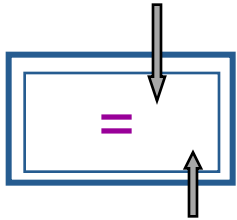
#

|   |   |   |   |
|---|---|---|---|
| 1 | 5 | 6 | 8 |
|---|---|---|---|

| F          | L          |   |
|------------|------------|---|
| #          | mississipp | i |
| i          | #mississip | p |
| i          | ppi#missis | s |
| i          | ssippi#mis | s |
| i          | ssissipp   | i |
| m          | ississippi | # |
| p          | i#mississi | p |
| p          | i#mississ  | i |
| ppi#missi  | s          | s |
| issippi#mi | s          | s |
| sippi#miss | i          | s |
| issippi#m  | i          | s |



# SUBSTRING SEARCH IN T (COUNT THE PATTERN OCCURRENCES)



Available info

|   |   |
|---|---|
| # | 0 |
| i | 1 |
| m | 5 |
| p | 6 |
| s | 8 |

{  
 → #mississipp  
 i#mississip  
 ippi#missis  
 issippi#mis  
 → ississippi#  
 mississippi  
 pi#mississi  
 ppi#mississ  
 sippi#missi  
 sissippi#mi  
 ssippi#miss  
 ssissippi#m  
 }

=2  
 [ - +1]

i  
 p  
 s  
 s  
 m  
 #  
 p  
 i  
 s  
 s  
 i  
 i



()



# BACKWARD SEARCH EXAMPLE

○  $P = \text{pssi}$

- 
- 
- 
- 
- 

3

$$+ ( , 1) + 1 = 8 + 0 + 1 = 9$$

$$+ ( , 5) = 8 + 2 = 10$$

2

| F | L             |   |
|---|---------------|---|
| # | mississippi   | i |
| i | #mississippi  | p |
| i | ppi#missis    | s |
| i | ssippi#mis    | s |
| i | ssissippi#    | m |
| m | issippi#      |   |
| p | i#mississippi |   |
| p | pi#missis     | i |
| s | sippi#missi   |   |
| s | sissippi#mi   |   |
| i | sippi#miss    |   |
| i | sissippi#m    |   |

= 1 5 6 8

Algorithm backward\_search( $P[1, p]$ )

(1)  $i \leftarrow p, c \leftarrow P[p], \text{First} \leftarrow C[c] + 1, \text{Last} \leftarrow C[c]$

(2) While  $(\text{First} \leq \text{Last})$  and  $(i \geq 3)$  do

(3)  $c \leftarrow P[i - 1]$

(4)  $\text{First} \leftarrow C[c] + \text{Occ}(c, \text{First} - 1) + 1$

(5)  $\text{Last} \leftarrow C[c] + \text{Occ}(c, \text{Last})$

(6)  $i \leftarrow i - 1$

if  $(\text{First} > \text{Last})$  then return (First, Last) else return (First, Last)

# BACKWARD SEARCH EXAMPLE

○  $P = \text{pssi}$

- 
- 
- 
- 
- 

2

$$+ ( , 8) + 1 = 8 + 2 + 1 = 11$$

$$+ ( , 10) = 8 + 4 = 12$$

2

| F | L              |   |
|---|----------------|---|
| # | mississippi    | i |
| i | #mississippi   | p |
| i | ppi#missis     | s |
| i | ssippi#mis     | s |
| i | ssissippi#     | m |
| m | issippi#       |   |
| p | #mississippi   |   |
| p | ppi#missis     | i |
| s | ssippi#missi   |   |
| s | ssissippi#mi   |   |
| i | ssissippi#miss |   |
| i | ssissippi#m    |   |

= 1 5 6 8

Algorithm backward\_search( $P[1, p]$ )

(1)  $i \leftarrow p, c \leftarrow P[p], \text{First} \leftarrow C[c] + 1, \text{Last} \leftarrow C[c]$

(2) while  $((\text{First} \leq \text{Last}) \text{ and } (i \geq 3))$  do

(3)  $c \leftarrow P[i - 1]$

(4)  $\text{First} \leftarrow C[c] + \text{Occ}(c, \text{First} - 1) + 1$

(5)  $\text{Last} \leftarrow C[c] + \text{Occ}(c, \text{Last})$

(6)  $i \leftarrow i - 1$

if  $(\text{First} > \text{Last})$  then return (First, Last) else return (First, Last)

# BACKWARD SEARCH EXAMPLE

○  $P = \text{pssi}$

- 
- 
- 
- 
- 

1

$$+ ( , 10) + 1 = 6 + 2 + 1 = 9$$

$$+ ( , 12) = 6 + 2 = 8$$

| F | L             |   |
|---|---------------|---|
| # | mississippi   | i |
| i | #mississippi  | p |
| i | ppi#missis    | s |
| i | ssippi#mis    | s |
| i | ssissippi#    | m |
| m | issippi#      |   |
| p | #mississippi  |   |
| p | ppi#missis    | i |
| s | sippi#missi   |   |
| s | sissippi#mi   |   |
| i | sissippi#miss |   |
| i | sissippi#m    |   |

= 1 5 6 8

Algorithm backward\_search( $P[1, p]$ )

(1)  $i \leftarrow p$ ,  $c \leftarrow P[i]$ , First  $\leftarrow C[c] + 1$ , Last  $\leftarrow C[c] + 1$

(2) While ((First  $\leq$  Last) and ( $i \geq 3$ )) do

(3)  $c \leftarrow P[i - 1]$

(4) First  $\leftarrow C[c] + \text{Occ}(c, \text{First} - 1) + 1$

(5) Last  $\leftarrow C[c] + \text{Occ}(c, \text{Last})$

(6)  $i \leftarrow i - 1$

return (First, Last)

# ASSIGNMENT 2



# ASSIGNMENT 2

- **bwtsearch**
  - **Bwtsearch -e fileToBeEncoded outputFile**
  - **Bwtsearch -d fileToBeDecoded**
    - **standard output**
  - **Bwtsearch -s fileEncoded “queryString”**
    - **Output all the lines contain “queryString”**
    - **Highlight “queryString” if capable**
    - **The search results need to be sorted according to their line numbers.**

# ASSIGNMENT 2



# ASSIGNMENT 2



# ASSIGNMENT 2

- one
-



# LECTURE 5



# SUCCINCT SUFFIX ARRAYS BASED ON RUN-LENGTH ENCODING \*

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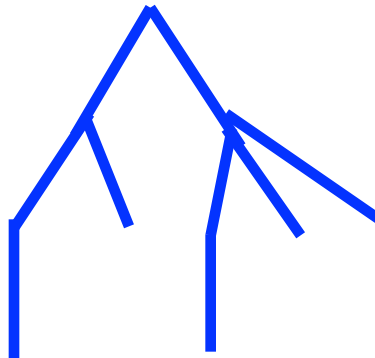
*[gnavarro@dcc.uchile.cl](mailto:gnavarro@dcc.uchile.cl)*

**A B**

# ARBITRARY ORDERED TREES

- 

- 



- 

“ “

“ ”

-

# SPACE FOR TREES

○

■

●

■

■

○

■

,

■

# STANDARD REPRESENTATION

B

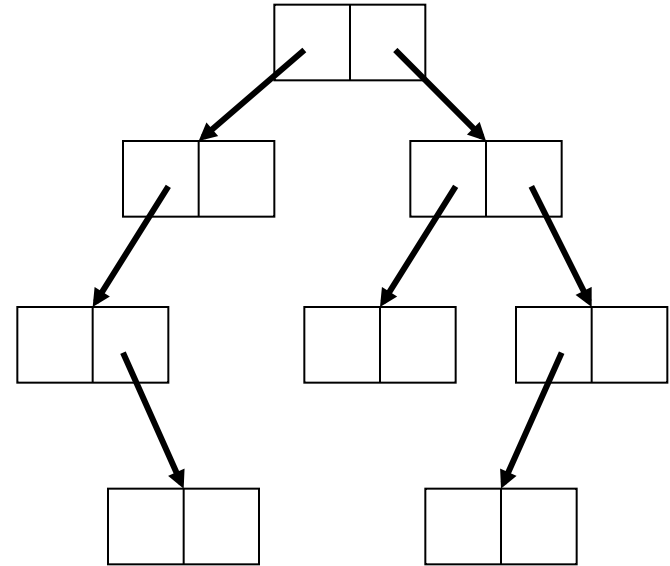
:

A

-

2

2



(

).

(

.

,

)

,

,

.

# CAN WE IMPROVE THE SPACE BOUND?

- $2^2$   
▪
  - 2  
▪
  - ?  
?
- 2

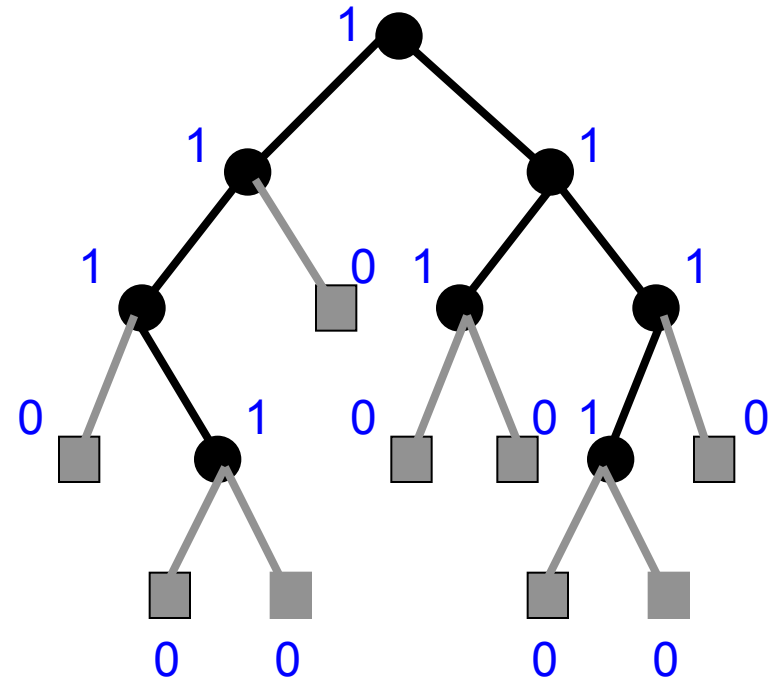
# HEAP-LIKE NOTATION FOR A BINARY

## TREE

A

1  
0

1 1 1 1 0 1 1 0 1 0 0 1 0 0 0 0 0



A

2 +1 .

?





# RANK/SELECT ON A BIT VECTOR

B

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15  
B: 0 1 1 0 1 0 0 0 1 1 0 1 1 1 1

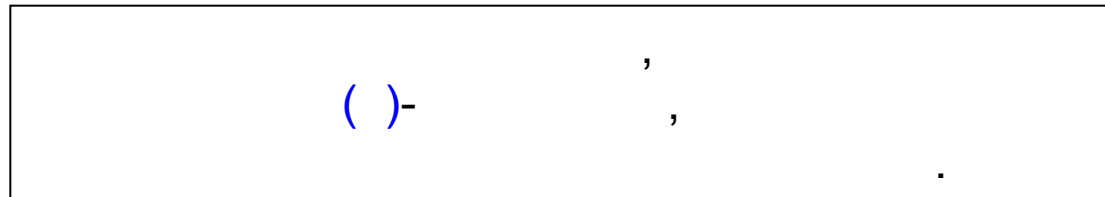
$$_1() = \# 1$$

B

$$_1() =$$

- 1 B

( 0 0)



$$\begin{aligned} _1(5) &= 3 \\ _1(4) &= 9 \\ _0(5) &= 2 \\ _0(4) &= 7 \end{aligned}$$

A

# BINARY TREE REPRESENTATION

- A

2 + ( )

:

•

•

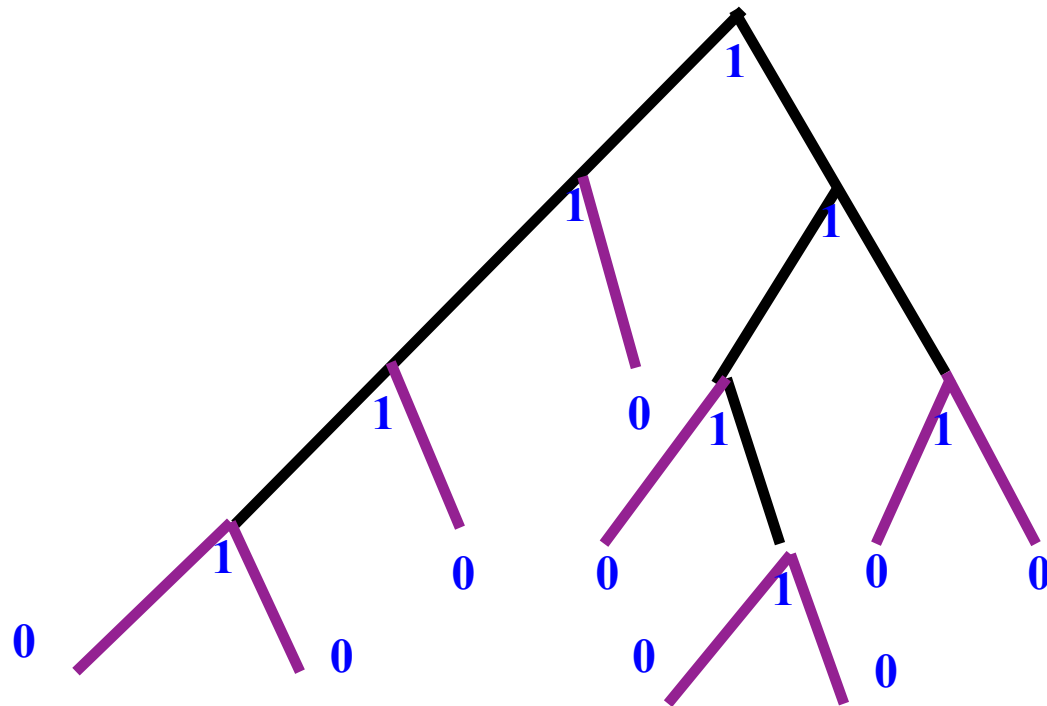
•

.

○ 1 1 1 1 0 1 1 1 0

# HEAP-LIKE NOTATION FOR A BINARY TREE

A



1 2 3 4 5 6

1 1 1 1 0 1 1 1 0 0 1 0 0 0 0 0

2 +1

1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7

# ORDERED TREES

A

( ):

:

-

( ) =

-

( ) =

-

( ) =

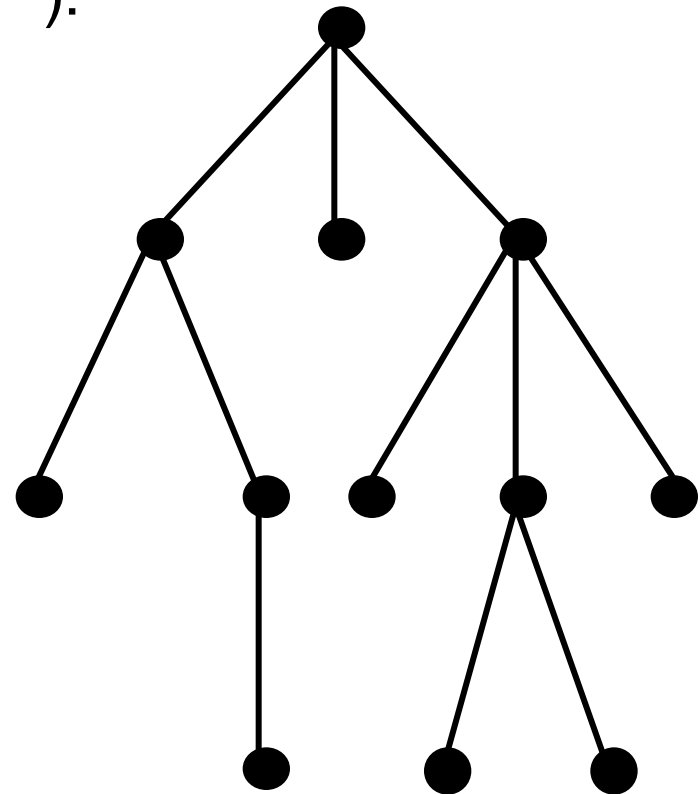
-

( ) = 2

-

( ) = 4

:



# ORDERED TREES

○ A

2 + ( )

,

.

○

- -

( )

( +1 ).

○

2 + ( )

( )

,

.

○

.





# SUPPORTING OPERATIONS

A

1

1 0 1 1 1 0 1 1 0 0 1 1 1 0 0 1 0 0 1 1 0 0 0 0 0

1 2 3 4 5 6 7 8 9 10 11 12

- 1

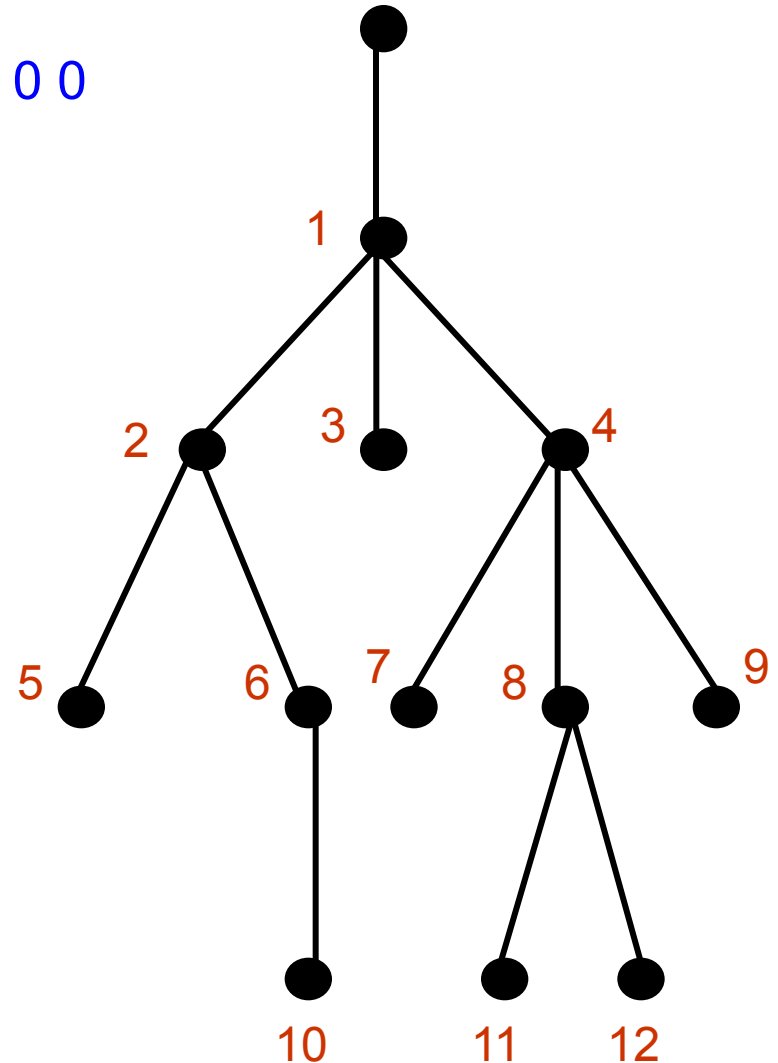
( ) = # 0

- 1

- 0

: , - ,

( )



# SIMPLE FM-INDEX

- *Burrows-Wheeler-transformed*
- 
-

# BURROWS-WHEELER TRANSFORMATION

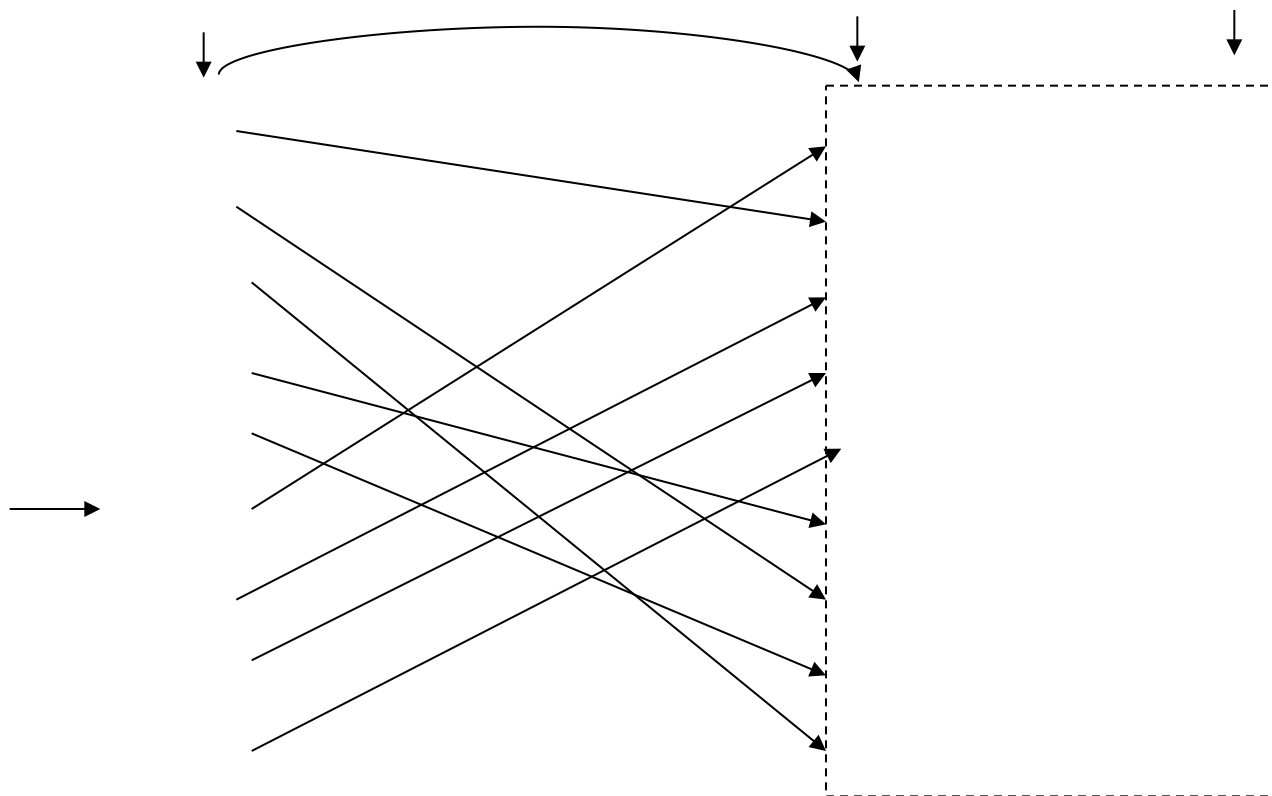


# EXAMPLE

12345

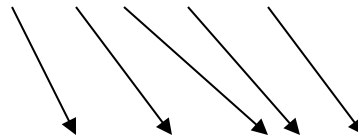
#

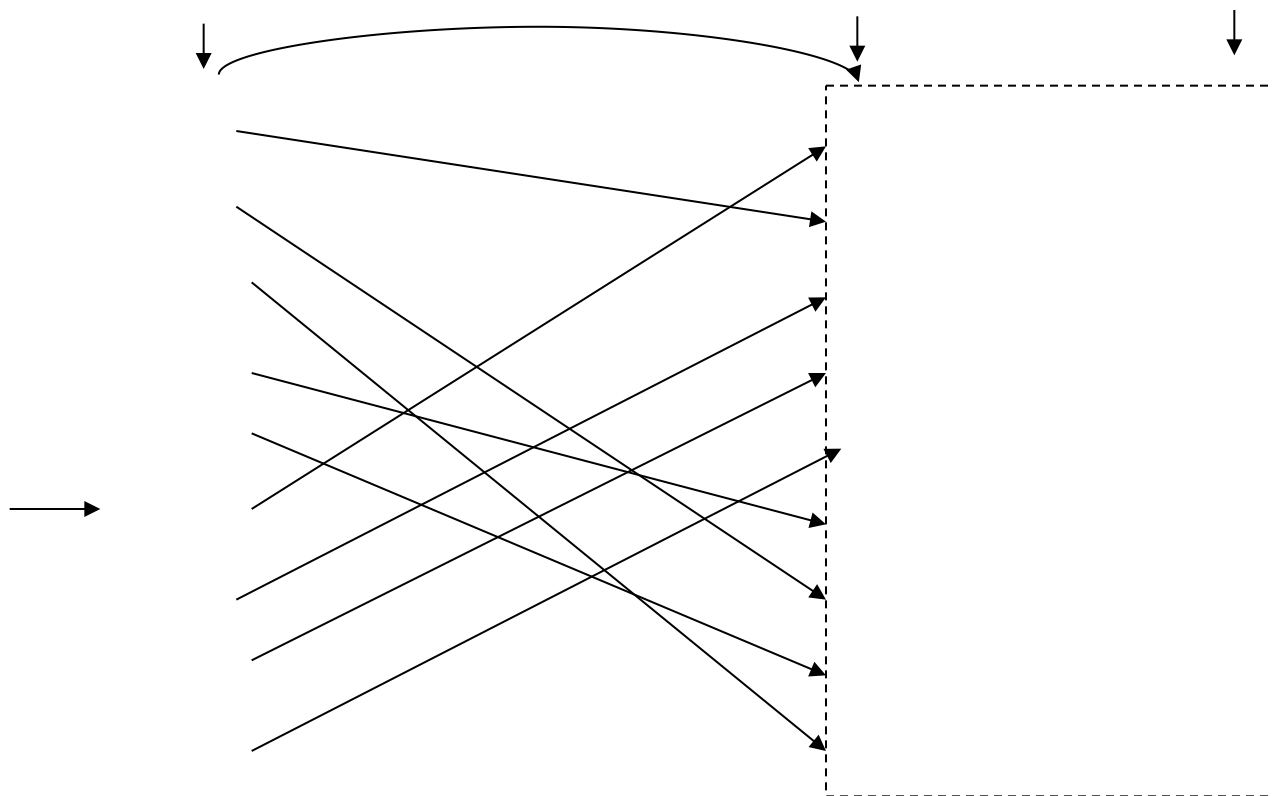




# IMPLICIT LF[I]

# RANK/SELECT







# RECALL: BACKWARD SEARCH ON BWT(T)

- Observation

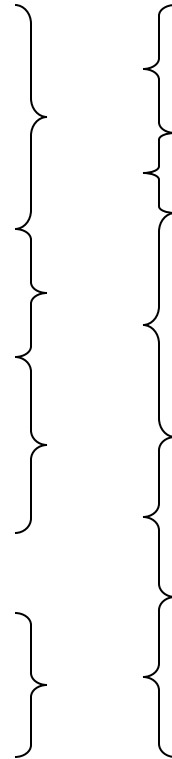
# BACKWARD SEARCH ON BWT(T)...

- $\sigma$   $\sigma$
- $\sigma$
-

# RUN-LENGTH FM-INDEX



# RUN-LENGTH FM-INDEX...



# CHANGES TO FORMULAS

- 

- **Theorem:**

- 

$\neq$

-

EXAMPLE,  $L[I]=C$





# EXERCISE

- 
-



# WHAT IS B'

*i*      **B**      **S**

# USUALLY $B'$ IS GIVEN TO SAVE COMPUTATIONS

$i$        $B$        $S$        $B'$

## REVERSE BWT FROM ROW 6

*i*      B      S      B'

# REVERSE BWT

*i*      B      S      B'

# REVERSE BWT

*i*    **B**    **S**    **B'**

# REVERSE BWT

*i*   **B**   **S**   **B'**

# REVERSE BWT

*i*    **B**    **B'**

# BACKWARD SEARCH

*i*   **B**   **S**   **B'**



# BACKWARD SEARCH

*i*   **B**   **S**   **B'**